



Europäisches
Patentamt

European
Patent Office

Office européen
des brevets

PCT/EP 03/15003

10 / 510369

OCT 2004

REC'D 04 FEB 2004

WIPO

PCT

Bescheinigung

Certificate

Attestation

Die angehefteten Unterla-
gen stimmen mit der
ursprünglich eingereichten
Fassung der auf dem näch-
sten Blatt bezeichneten
europäischen Patentanmel-
dung überein.

The attached documents
are exact copies of the
European patent application
described on the following
page, as originally filed.

Les documents fixés à
cette attestation sont
conformes à la version
initialement déposée de
la demande de brevet
européen spécifiée à la
page suivante.

Patentanmeldung Nr. Patent application No. Demande de brevet n°

02258930.3

PRIORITY DOCUMENT

SUBMITTED OR TRANSMITTED IN
COMPLIANCE WITH RULE 17.1(a) OR (b)

BEST AVAILABLE COPY

Der Präsident des Europäischen Patentamts;
Im Auftrag

For the President of the European Patent Office

Le Président de l'Office européen des brevets
p.o.

R C van Dijk



Anmeldung Nr:
Application no.: 02258930.3
Demande no:

Anmeldetag:
Date of filing: 20.12.02
Date de dépôt:

Anmelder/Applicant(s)/Demandeur(s):

SIGMA COATINGS B.V.
Amsterdamseweg, 14
1422 AD Uithoorn
PAYS-BAS

Bezeichnung der Erfindung/Title of the invention/Titre de l'invention:
(Falls die Bezeichnung der Erfindung nicht angegeben ist, siehe Beschreibung.
If no title is shown please refer to the description.
Si aucun titre n'est indiqué se référer à la description.)

Process for the production of silyl carboxylate monomers

In Anspruch genommene Priorität(en) / Priority(ies) claimed / Priorité(s)
revendiquée(s)
Staat/Tag/Aktenzeichen/State/Date/File no./Pays/Date/Numéro de dépôt:

Internationale Patentklassifikation/International Patent Classification/
Classification internationale des brevets:

C07F7/00

Am Anmeldetag benannte Vertragsstaaten/Contracting states designated at date of
filing/Etats contractants désignées lors du dépôt:

AT BE BG CH CY CZ DE DK EE ES FI FR GB GR IE IT LI LU MC NL
PT SE SI SK TR

PROCESS FOR THE PRODUCTION OF SILYL CARBOXYLATE MONOMERS

The present invention relates to the production of silyl
5 carboxylate by a surprising new route.

Silyl carboxylates are useful as monomers or co-monomers
in the production of metal-free binders for self polishing
antifouling paints as, for instance, disclosed in EP-A-
10 1127902. Antifouling paints are widely used to improve the
performance of ships by preventing the growth of marine
organisms on the sub-marine parts of ship's hulls.
Binders containing metals such as tin have been widely
used since the 1960's but research has shown that the
15 organotin tributyl tin (TBT) causes environmental problems
such as deformations in oysters and sex changes in whelks.
Silyl carboxylates derived binders are an important
replacement for such tin-based systems. Accordingly, the
economic production of silyl carboxylate monomers will
20 make an important contribution to such systems.

Some of the polymers used in the above-described
antifouling paints are based on silylated carboxylate
monomers.

25 Several processes are known as conventional techniques for
the synthesis of said silylated carboxylate monomers.

EP 1260513 relates to a process for the preparation of
30 trialkylsilylated carboxylate monomers from
hexaalkyldisilylsulfates and metallic salts of unsaturated
carboxylic acids.

JP 5306290 A describes a process to obtain a methacrylic
35 functional group-containing organosilicon compound. The
process comprises reacting methacrylic acid with a
halogenoalkylsilane (e.g. trialkylsilylchloride) in the

presence of a tertiary amine compound having a cyclic structure. This process has disadvantages such as the reduced availability and storage stability of the silyl chloride. Moreover, the reaction yields as a by-product a hydrogen halide (which provokes the corrosion of the production equipment) or a halide salt (which has to be removed by filtration).

The synthesis of trimethylsilyl methacrylate from methacrylic acid and hexamethyldisilazane is described in A.Chapman & A.D.Jenkins J.Polym.Sci. Polym.Chem.Edn. vol 15, p.3075 (1977).

JP 10195084 A discloses the reaction of unsaturated carboxylic acid such as acrylic acid or methacrylic acid with a trialkylsilylhydride compound in the presence of a copper catalyst. One of the disadvantages of this method is the risk of hydrogenation of the unsaturated carboxylic acid due to a side reaction of the produced H₂ on the carbon-carbon double bond.

Reaction mechanisms of nucleophilic attack at silicon have been disclosed in the literature. Bassindale et al, The Chemistry of Organic Silicon Compounds, chapter 13, J Wiley & Sons 1989, discloses extensive reaction mechanisms for silicon. However, the nucleophilic reaction mechanisms relate to halo substituted silicon type compounds and these are encouraged by the halogen leaving group.

EP 056108A1 (Dow Corning Corporation) discloses the acid catalysed reaction of alkoxysilanes with carboxylic acids to produce alkyl carboxylates and disiloxanes.

Nakao et al, Bulletin of the Chemical Society Japan, 54, 1267-1268 (1981) discloses the esterification of carboxylic acids with alcohols in the presence of

5

10

15 According to a first aspect of the present invention there is provided a process for the production of hydrocarbyl silyl unsaturated carboxylates of formula (I).



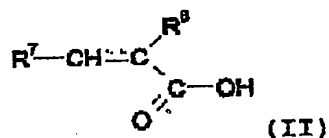
R¹, R², R³, R⁴, R⁵ each independently represent hydrogen, hydroxyl, alkyl, alkenyl, alkynyl, alkoxyl, aryl, aryloxyl, aralkyloxyl, -O-SiR¹R²R³, -O-(SiR⁴R⁵O)_n-SiR¹R²R³ or aralkyl radical optionally substituted by one or more substituents independently selected from the group comprising alkyl, alkoxyl, aralkyl, aralkyloxyl, aryl, aryloxyl, hydroxyl, halogen, amino or amino alkyl radicals, or may independently be an -O-C(O)-C(R⁶)=CHR⁷ group;

R⁵ represents a hydrogen atom, or an alkyl group, or (-R⁸-)_n. C(O)OR¹⁰ wherein R¹⁰ represents an hydrogen atom, -

(SiR¹R²O)_n-SiR¹R²R³ wherein R¹, R², R³, R⁴, R⁵ are as already defined or an alkyl group; wherein R⁶ is independently selected from alkyl, alkenyl, alkynyl, aryl or an aralkyl radical optionally substituted by one or more substituents
 5 independently selected from alkyl, alkenyl, alkynyl, aralkyl, aryl, hydroxyl, halogen, amino or amino alkyl radicals; n=0 or 1;

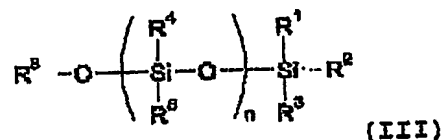
R⁷ represents a hydrogen atom, or independently represents
 10 alkyl, aryl, aralkyl, alkenyl, alkynyl radical optionally substituted with the same radicals as defined for R⁶ above or R⁷ represents -COOR⁸ wherein R⁸ represents an hydrogen atom, an alkyl group or -(SiR¹R²O)_n-SiR¹R²R³ wherein R¹, R², R³, R⁴ and R⁵ are as already defined;

15 by reaction of an unsaturated carboxylic acid of formula (II)



20

wherein R⁶ and R⁷ in formula (II) are as defined above; with a hydrocarbyl silyl compound of formula (III)



25

wherein R¹, R², R³, R⁴ and R⁵ are as defined above and R⁸ is an hydrogen atom, an alkyl, aralkyl or aryl, alkenyl or alkynyl group optionally substituted with one or more
 30 substituents selected from the equivalent substituents as detailed for R¹-R⁵ above; and each n above independently

represents a number of dihydrocarbylsiloxane units from 0 to 1000; the said reaction being carried out in the presence of a silaphilic catalyst.

- 5 Preferably, wherein when R^{10} represents alkyl or hydrogen in formula II, it represents $-(SiR^4R^5O)_n-SiR^1R^2R^3$ in formula I, wherein n and R^1-R^5 are as defined previously.

- 10 Preferably, wherein when R^1 , R^2 , R^3 , R^4 or R^5 are aryloxyl, alkaryloxyl, alkoxyl or hydroxyl in formula III, they may represent $-O-C(O)-C(R^6)=CHR^7$ in formula I.

- 15 Preferably, where R^9 represents an alkyl group or an hydrogen atom in formula (II), it may represent $-(SiR^4R^5O)_n-SiR^1R^2R^3$ in formula (I).

- 20 Preferably, the silaphilic catalysts are selected from fluoride containing mineral or organic salts which comprise, but are not limited to, sodium fluoride, potassium fluoride, caesium fluoride or tetrabutyl ammonium fluoride (Bu_4NF); or are selected from N-methyl imidazole (NMI), N,N-dimethylamino pyridine (DMAP), hexamethylphosphoric triamide (HMPA), 4,4 dimethyl imidazole, N-methyl-2-pyridone (NMP), pyridine N-oxide, 25 triphenylphosphine oxide, 2,4 dimethyl pyridine, N-methyl-4-pyridone, dimethyl formamide (DMF), 3,5 dimethyl pyridine, N,N-dimethylethylene Urea (DMEU), N,N-dimethylpropylene Urea (DMPU), pyridine, imidazole, trimethylamine, dimethyl sulphoxide (DMSO), N-methyl 30 pyrrolidinone (NMP), formamide, N-alkylformamides, N,N-dialkylformamides, acetamide, N-alkylacetamides, N,N-dialkylacetamides, alkylcyanides, N-methyl pyrrolidone, p-dimethylaminobenzaldehyde, 1,2-dimethyl imidazole, LiOH, LiStearate, NaI, MeONa or MeOLi; the term alkyl in the 35 above N-alkyl and N,N-dialkyl . . . amides and cyanides include any linear, cyclic, bicyclic, polycyclic, alkyl aliphatic or aromatic group and in the case of N,N-

compounds the alkyl may be the same or different, an example is N-formyl Rosinamine.

Silaphilic catalysts have been defined as molecules having
 5 a special affinity for silicon - Brook, Silicon in Organic, Organometallic and Polymer Chemistry section 5.5, J Wiley & Sons 2000. Preferably, the silaphilic catalysts have an electron rich heteroatom such as oxygen or nitrogen. Typically, the hetero atom is substituted with
 10 electron donating groups.

Lewis acid catalysts may also be used to catalyse the process of the present invention. Accordingly, for the purposes of the present invention, the term "silaphilic
 15 catalyst" should be taken as incorporating Lewis acid catalysts such as titanium butoxide $Ti(OBu)_4$.

Said catalyst may, for example, be a metal alkoxide, an organic tin compound such as dibutyltin dilaurate,
 20 dibutyltin dioctate or dibutyltin diacetate, or a boron compound such as boron butoxide or boric acid. Illustrative examples of metal alkoxide include aluminum triethoxide, aluminum triisopropoxide, aluminum tributoxide, aluminum tri-sec-butoxide, aluminum
 25 diisopropoxy-sec-butoxide, aluminum diisopropoxyacetyl acetate, aluminum di-sec-butoxyacetyl acetate, aluminum diisopropoxyethyl acetoacetate, aluminum di-sec-butoxyethylacetoacetate, aluminum trisacetyl acetate, aluminum trisethylaceto acetate, aluminum acetylacetate
 30 bisethylacetoacetate, titanium tetraethoxide, titanium tetraisopropoxide, titanium (IV) butoxide, titanium diisopropoxybisacetyl acetate, titanium diisopropoxybisethyl acetoacetate, titanium tetra-2-ethylhexyloxide, titanium diisopropoxybis(2-ethyl-1,3-hexanediolate),
 35 titanium dibutoxybis(triethanolamine), zirconium tetrabutoxide, zirconium tetraisopropoxide, zirconium tetramethoxide, zirconium tributoxide

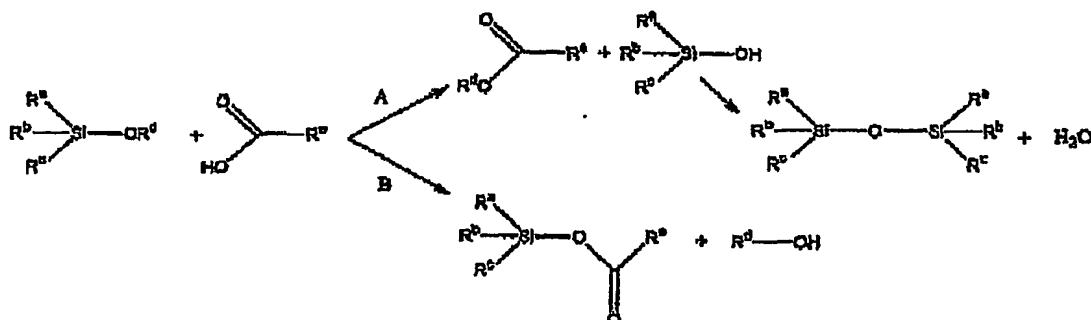
monoacetylacetonate, zirconium dibutoxide
 bisacetylacetonate, zirconium butoxide
 trisacetylacetonate, zirconium tetraacetylacetonate,
 zirconium tributoxide monoethylacetoacetate, zirconium
 5 dibutoxide bisethylacetoacetate, zirconium butoxide
 trisethylacetoacetate and zirconium
 tetraethylacetoacetate. In addition to these compounds,
 cyclic 1,3,5-triisopropoxycyclotrialuminoxane and the like
 can also be used and is thereby incorporated within the
 10 definition of "silaphilic catalyst".

Preferably, in compounds of formula I, the number of
 (alk)acryloyl groups is less than 4, more preferably, less
 than 3, most preferably 1.

15

Advantageously, although the prior art describes the
 reaction of alkoxysilanes with carboxylic acid as leading
 to the corresponding alkylcarboxylates and the silanol
 (path A), the latter tending to dehydrate to form
 20 disiloxanes. It has been surprisingly discovered that the
 use of a silaphilic catalyst (i.e. a catalyst able to
 coordinate in a reversible manner with the silicon atom)
 allows preferential substitution of alkoxy or hydroxyl
 groups by the carboxy group (path B).

25



Advantageously, the process of the present invention results in the release of harmless by-products, namely water and methanol.

More preferably, the silaphilic catalyst is a catalyst capable of facilitating a penta or hexa coordinated silicon species in the transition state of the reaction.

10

More, preferably, the silaphilic catalysts are independably selected from

DMF, DMSO, formamide, N-alkylformamides, N,N-dialkylformamides, acetamide, N-alkylacetamides, N,N-dialkylacetamides, N-Methyl pyrrolidone, p-dimethylaminobenzaldehyde, DMAP, N-methyl imidazole, 1,2-dimethyl imidazole, HMPA, DMPU, NaI, MeONa, MeOLi, Bu₄NF, Ph₃PO, LiOH, LiStearate and pyridine N-oxide.

20

The catalysts may be homogenous or heterogenous but preferably, are homogenous and present in a free form in the reaction medium. Alternatively, the catalysts may be bonded to a polymeric support.

25

Particularly preferred catalysts are independably selected from

DMF, formamide, N-alkyl formamide, N,N-dialkylformamide, Bu₄NF.

30

Preferably, the catalysts are present at a level of 0.001-100 mol% (mol/mol silane), more preferably 0.01-40 mol%, most preferably, 0.1-30 mol% in the reaction medium at the start of the reaction. Especially preferred is a range of 20-30 mol% for the formamides or 0.1-1mol% for Bu₄NF.

35

Preferably, the reaction includes a polymeric inhibitor. A suitable polymerisation inhibitor is o-methoxyphenol.

5 Preferably, the reaction is carried out in a suitable solvent.

Suitable solvents which can be used in the process of the invention include non polar inert solvents, aliphatic hydrocarbons, cyclic and non cyclic ethers.

10

Suitable solvents may be independently selected from pentane, hexane, heptane, toluene, xylene, benzene, mesitylene, ethylbenzene, octane, decane, decahydronaphthlene, diethyl ether, diisopropyl ether, 15 diisobutyl ether or mixtures thereof.

Especially preferred solvents are those which allow reactive distillation ie. which cause no distillation of any of the reactants but which allow preferential 20 distillation of one of the products to drive the equilibrium to the right.

More especially preferred solvents are those which form a low boiling azeotrope with the distilled R^oOH . Still more 25 especially preferred solvents are those which form a heterogenous low boiling azeotrope with the distilled R^oOH .

Most preferably, the solvents are independently selected from pentane, hexane, heptane, toluene and xylene.

30

Preferably, the temperature of the reaction depends on the boiling point of the azeotrope that has to be distilled, the shape of the reactor and the height of the distillation column.

35

Typically, the reaction is carried out in the range 0°C - 200°C, more preferably, 60-170°C, most preferably, 110-140°C.

5 Preferably, the polymerisation inhibitor is present in the range 0.001-10% wt/wt of the total reaction mix, more preferably 0.001-5% wt/wt and most preferably 0.01-2% wt/wt.

10 Preferably, the molar ratio of silane:acid is between 1:100 and 50:1, more preferably between 10:1 and 1:10, most preferably, between 2:1 and 1:2. Preferably, the molar ratio of silane:acid is approximately 1:1.

15 Preferably, the solvent is at least 10 wt% of the total reaction mix at the start of the reaction, more preferably, at least 20 wt%, most preferably, at least 30 wt%. The reaction may be carried out at atmospheric pressure although both higher and lower pressures are also
20 possible.

The reaction may also be performed without solvent and accordingly suitable ranges of solvent are 0-99 wt% of the total reaction mix, more preferably, 20-50 wt%, most
25 preferably 30-40 wt%.

Preferably, R^1 , R^2 , R^3 , R^4 and R^5 each independently represent hydrogen, alkyl, alkynyl, aryl or aralkyl radicals optionally substituted as aforesaid in the first
30 aspect of the present invention, more preferably, optionally substituted by one or more substituents independently selected from the group comprising alkyl, aralkyl, aryl, halogen, tertiary amino or amino alkyl radicals.

35 Preferably, R^6 represents an hydrogen atom or an alkyl group.

Preferably, R^7 represents an alkyl group, an aryl group or an aralkyl group.

- 5 Preferably, R^1 , R^2 , R^3 , R^4 , R^5 each independently represent an alkyl, an aryl group or a hydrogen atom.

According to an embodiment of the present invention, R^1 , R^2 , R^3 , R^4 , R^5 , R^6 , R^7 and R^8 are each independently selected
10 from the group comprising methyl, ethyl, propyl, isopropyl, isobutyl, n-butyl, sec-butyl, t-butyl. Preferably, R^4 , R^5 , R^6 and R^8 are methyl and R^7 is hydrogen.

- 15 Preferably, R^8 represents a hydrogen atom or an alkyl group.

When R^1 , R^2 and R^3 are alkyl groups they are preferably, independently selected from the group consisting of C1 to C8 alkyl groups, preferably C3 and C4, more preferably
20 isopropyl and n-butyl. The said alkyl groups may be branched or linear.

Preferably, each n in formulas I, II or III is independently 0 to 50, more preferably, 0 to 10, most
25 preferably 0 to 5. Especially preferred values for n are selected from 0, 1, 2, 3, 4 or 5.

As used herein, the term "polymer" refers to the product of a polymerisation reaction, and is inclusive of
30 homopolymers, copolymers, terpolymers, etc.

As used herein, the term "copolymer" refers to polymers formed by the polymerisation reaction of at least two different monomers.

35

As used herein, the term "independently selected" or "independently represent" indicates that the each

radical R so described, can be identical or different. For example each R^i in compound of formula (I) may be different for each value of n.

- 5 The term "alkyl", as used herein unless otherwise defined, relates to saturated hydrocarbon radicals having straight, branched, cyclic or polycyclic moieties or combinations thereof and contains 1 to 20 carbon atoms, preferably 1 to 10 carbon atoms, more preferably 1 to 8 carbon atoms, 10 still more preferably 1 to 6 carbon atoms, yet more preferably 1 to 4 carbon atoms. Examples of such radicals include may be independently selected from methyl, ethyl, n-propyl, isopropyl n-butyl, isobutyl, set-butyl, tert-butyl, 2-methylbutyl, pentyl, iso-amyl, hexyl, cyclohexyl, 15 3-methylpentyl, octyl and the like.

- The term "alkenyl", as used herein, relates to hydrocarbon radicals having one or several double bonds, having straight, branched, cyclic or polycyclic moieties 20 or combinations thereof and containing from 2 to 18 carbon atoms, preferably 2 to 10 carbon atoms, more preferably from 2 to 8 carbon atoms, still more preferably 2 to 6 carbon atoms, yet more preferably 2 to 4 carbon atoms. Examples of alkenyl groups include vinyl, allyl, 25 isopropenyl, pentenyl, hexenyl, heptenyl, cyclopropenyl, cyclobutenyl, cyclopentenyl, cyclohexenyl, 1-propenyl, 2-butenyl, 2-methyl-2-butenyl, isoprenyl, farnesyl, geranyl, geranylgeranyl and the like.

- 30 The term "alkynyl", as used herein, relates to hydrocarbon radicals having one or several triple bonds, having straight, branched, cyclic or polycyclic moieties or combinations thereof and having from 2 to 18 carbon atoms, preferably 2 to 10 carbon atoms, more preferably from 2 to 35 8 carbon atoms, still more preferably from 2 to 6 carbon atoms, yet more preferably 2 to 4 carbon atoms. Examples

of alkynyl radicals include ethynyl, propynyl, (propargyl), butynyl, pentynyl, hexynyl and the like.

The term "aryl" as used herein, relates to an organic radical derived from an aromatic hydrocarbon by removal of one hydrogen, and includes any monocyclic, bicyclic or polycyclic carbon ring of up to 7 members in each ring, wherein at least one ring is aromatic. Said radical may be optionally substituted with one or more substituents independently selected from alkyl, alkoxy, halogen, hydroxy or amino radicals. Examples of aryl includes phenyl, p-tolyl, 4-methoxyphenyl, 4-(tert-butoxy)phenyl, 3-methyl-4-methoxyphenyl, 4-fluorophenyl, 4-chlorophenyl, 3-nitrophenyl, 3-aminophenyl, 3-acetamidophenyl, 4-acetamidophenyl, 2-methyl-3-acetamidophenyl, 2-methyl-3-aminophenyl, 3-methyl-4-aminophenyl, 2-amino-3-methylphenyl, 2,4-dimethyl-3-aminophenyl, 4-hydroxyphenyl, 3-methyl-4-hydroxyphenyl, 1-naphthyl, 2-naphthyl, 3-amino-1-naphthyl, 2-methyl-3-amino-1-naphthyl, 6-amino-2-naphthyl, 4,6-dimethoxy-2-naphthyl, tetrahydronaphthyl, indanyl, biphenyl, phenanthryl, anthryl or acenaphthyl and the like.

The term "aralkyl" as used herein, relates to a group of the formula alkyl-aryl, in which alkyl and aryl have the same meaning as defined above. Examples of aralkyl radicals include benzyl, phenethyl, dibenzylmethyl, methylphenylmethyl, 3-(2-naphthyl)-butyl, and the like.

Examples of the ethylenically unsaturated part of formula (I) may include but are not limited to (meth)acrylate, itaconate, methyl fumarate, methyl maleate, n-butyl fumarate, n-butyl maleate, amyl fumarate, amyl maleate, and the like and polymers or copolymers thereof, wherein methacrylate or acrylate is herein collectively referred to as a "(meth)acrylate".

In a preferred embodiment, said ethylenically unsaturated part of formula (I) is (meth)acrylate, and copolymers or polymers thereof.

- 5 Examples of the organosilylated carboxylate monomers of general formula (I) include but are not limited to tri-n-butyl 1-(meth)acryloyloxy-silane, tri-n-propyl-1-(meth)acryloyloxy silane, tri-t-butyl-1-(meth)acryloyloxy-silane, tri-isopropyl-1-(meth)acryloyloxy-silane, tri-isobutyl-1-(meth)acryloyloxy-silane, tri-methyl-1-(meth)acryloyloxy-silane, triethyl- 1-(meth)acryloyloxy-silane, tribenzyl- 1-(meth)acryloyloxy-silane, triamyl- 1-(meth)acryloyloxy-silane, triphenyl- 1-(meth)acryloyloxy-silane, nonamethyl-1-(meth)acryloyloxy-tetrasiloxane, nonaethyl-1-(meth)acryloyloxy-tetrasiloxane, nona-t-butyl-1-(meth)acryloyloxy-tetrasiloxane, nonabenzyl-1-(meth)acryloyloxy-tetrasiloxane, nona-isopropyl-1-(meth)acryloyloxy-tetrasiloxane, nona-n-propyl-1-(meth)acryloyloxy-tetrasiloxane, nona-isobutyl-1-(meth)acryloyloxy-tetrasiloxane, nona-amyl-1-(meth)acryloyloxy-tetrasiloxane, nona-n-butyl-1-(meth)acryloyloxy-tetrasiloxane, nona-dodecyl-1-(meth)acryloyloxy-tetrasiloxane, nona-hexyl-1-(meth)acryloyloxy-tetrasiloxane, nona-phenyl-1-(meth)acryloyloxy-tetrasiloxane, nona-octyl-1-(meth)acryloyloxy-tetrasiloxane, undecamethyl-1-(meth)acryloyloxy-pentasiloxane, undecaethyl-1-(meth)acryloyloxy-pentasiloxane, undeca-t-butyl-1-(meth)acryloyloxy-pentasiloxane, undecabenzyl-1-(meth)acryloyloxy-pentasiloxane, undeca-isopropyl-1-(meth)acryloyloxy-pentasiloxane, undeca-n-propyl-1-(meth)acryloyloxy-pentasiloxane, undeca-isobutyl-1-(meth)acryloyloxy-pentasiloxane, undeca-amyl-1-(meth)acryloyloxy-pentasiloxane, undeca-n-butyl-1-(meth)acryloyloxy-pentasiloxane, undeca-dodecyl-1-(meth)acryloyloxy-pentasiloxane, undeca-hexyl-1-(meth)acryloyloxy-pentasiloxane, undeca-phenyl-1-

	(meth)acryloyloxy-pentasiloxane,	undeca-octyl-1-
	(meth)acryloyloxy-pentasiloxane	tridecamethyl-1-
	(meth)acryloyloxy-hexasiloxane,	tridecaethyl-1-
	(meth)acryloyloxy-hexasiloxane,	trideca-t-butyl-1-
5	(meth)acryloyloxy-hexasiloxane,	tridecabenzyl-1-
	(meth)acryloyloxy-hexasiloxane,	trideca-isopropyl-1-
	(meth)acryloyloxy-hexasiloxane,	trideca-n-propyl-1-
	(meth)acryloyloxy-hexasiloxane,	trideca-isobutyl-1-
	(meth)acryloyloxy-hexasiloxane,	trideca-amyl-1-
10	(meth)acryloyloxy-hexasiloxane,	trideca-n-butyl-1-
	(meth)acryloyloxy-hexasiloxane,	trideca-dodecyl-1-
	(meth)acryloyloxy-hexasiloxane,	trideca-hexyl-1-
	(meth)acryloyloxy-hexasiloxane,	trideca-phenyl-1-
	(meth)acryloyloxy-hexasiloxane,	trideca-octyl-1-
15	(meth)acryloyloxy-hexasiloxane and polymers thereof.	

In formula I, the ethylenic unsaturated part is most preferably selected from acrylate and methacrylate.

20 The invention will now be described by way of illustration only and with reference to the accompanying examples.

In the following examples, NMR data has been determined in CDCl₃ and are expressed as delta versus TMS.

25

Example 1:

A mixture of 20 g of methoxytributyl silane (CAS RN:15811-64-0), 8.12 g of methacrylic acid, 1.89 g of N,N-dimethylformamide, 0.2 g of p-methoxyphenol and 30 ml of
30 heptane is heated until methanol is completely distilled at atmospheric pressure (b.p. of the azeotrope: 59.1°C) to furnish tributylsilyl methacrylate (89%).

Tri-n-butylsilyl methacrylate: ¹³C NMR : 167.8, 137.9, 126.0, 26.7, 25.5, 18.5, 13.5, 14.0; ²⁹Si NMR : 23.1; IR (film): 2959, 2927, 1703, 1334, 1174, 886, 766 cm⁻¹.

35

Example 2:

A mixture of 10 g of tributyl silanol (CAS RN: 18388-85-7), 4.26 g of methacrylic acid, 0.94 g of N,N-dimethylformamide, 0.1 g of p-methoxyphenol and 10 ml of heptane is heated until water is completely distilled at atmospheric pressure (b.p. of the azeotrope: 79.2°C) to furnish tributylsilyl methacrylate.

Comparative Example:

10 A mixture of 10 g of methoxytributyl silane, 4.26 g of methacrylic acid, 1.3 g of Amberlyst A15 (sulfonic acid resin), 0.1 g of p-methoxyphenol and 10 ml of heptane is heated. After distillation of heptane, only slight amounts of tributylsilyl methacrylate are detected, hexabutyl
15 disiloxane and methyl methacrylate are present as main products.

Example 3:

20 A mixture of 10 g of tributyl silanol, 4.26 g of methacrylic acid, 0.58 g of formamide, 0.1 g of p-methoxyphenol and 10 ml of heptane is heated until water is completely distilled at atmospheric pressure (b.p. of the azeotrope: 79.2°C) to furnish tributylsilyl methacrylate.

25

Example 4:

A mixture of 10 g of methoxytributyl silane, 4.26 g of methacrylic acid, 1.13 g of N,N-dimethyl acetamide, 0.1 g
30 of p-methoxyphenol and 10 ml of heptane is heated until methanol is completely distilled at atmospheric pressure (b.p. of the azeotrope: 59.1°C) to furnish tributylsilyl methacrylate.

35 Example 5:

A mixture of 10 g of methoxytributyl silane, 4.26 g of methacrylic acid, 2.0 g of N-formyl Rosinamine (prepared

as described in example 1 of WO00/55117) , 0.1 g of p-methoxyphenol and 10 ml of heptane is heated until methanol is completely distilled at atmospheric pressure (b.p. of the azeotrope: 59.1°C) to furnish tributylsilyl methacrylate.

Example 6

0.274 g of tetrabutylammonium fluoride trihydrate and 30 ml of heptane were heated at 110°C in order to remove water by azeotropic distillation. 20 g of methoxytributyl silane, 8.12 g of methacrylic acid and 0.2 g of p-methoxyphenol were then added. The mixture was heated until methanol is completely distilled at atmospheric pressure (b.p. of the azeotrope: 59.1°C) for 2 h. Evaporation of the solvent under reduced pressure followed by vacuum distillation furnished pure tributylsilyl methacrylate.

Example 7

0.179 g of tetrabutylammonium fluoride trihydrate and 20ml of heptane were heated at 110°C in order to remove water by azeotropic distillation. 10 g of triisopropylsilanol, 4.41 g of acrylic acid and 0.2 g of p-methoxyphenol were then added. The mixture was heated until the water is totally distilled at atmospheric pressure (b.p. of the azeotrope: 79.2°C) to furnish triisopropyl acrylate. Triisopropylsilyl acrylate: ¹³C NMR: 132.5, 130.4, 175.0, 12.3, 17.0; ²⁹Si NMR: 21.84; IR (film): 2948, 2870, 1708, 1620, 1465, 1403, 1290, 1209, 1046, 884, 818, 746 cm⁻¹.

Example 8

0.132 g of tetrabutylammonium fluoride trihydrate and 20ml of heptane were heated at 110°C in order to remove water by azeotropic distillation. 13.8 g of nonamethyl-1-methoxy-tetrasiloxane (CAS: 78824-97-2), 4.41 g of methacrylic acid and 0.1 g of p-methoxyphenol were then added. The mixture was heated until the methanol is

totally distilled at atmospheric pressure (b.p. of the azeotrope: 59.1°C) to furnish nonamethyl-1-methacryloyloxy-tetrasiloxane.

Nonamethyl-1-methacryloyloxy-tetrasiloxane: ^{13}C NMR: 166.8, 126.3, 137.8, 18.1, 1.95, 1.24, 1.03, -0.13; ^{29}Si NMR: 7.3, -8.8, -20.1, -21.6; IR (film): 2963, 1730, 1372, 1260, 1083, 1045, 841, 809 cm^{-1} .

Comparative example 2 shows the behaviour of an uncatalysed reaction, the reaction is very slow and leads to a mixture of starting materials and MMA.

Comparative example 2

A mixture of 20 g of methoxytributyl silane, 8.12 g of methacrylic acid, 0.2 g of p-methoxyphenol and 30 ml of heptane is heated. After 7 h at 165 °C only 0.15 eq. of methanol was distilled. Analysis of the reaction mixture by GC showed a mixture of starting materials, TBSiMA and methyl methacrylate.

Example 9:

0.36 g of lithium hydroxide monohydrate and 20ml of heptane were heated at 110°C in order to remove water by azeotropic distillation. 20 g of methoxytributylsilane, 8.12 g of methacrylic acid and 0.2 g of p-methoxyphenol were then added. The mixture was heated is heated until the methanol is totally distilled at atmospheric pressure (b.p. of the azeotrope: 59.1°C) to furnish tributylsilyl methacrylate (88%).

The reaction temperatures for the above mentioned examples are as follows:-

Example #	T°
1	150-170°C
2	120-130°C

19

	3	120-140°C
	4	130-150°C
	5	125-130°C
	6	110-120°C
5	7	125-130°C
	8	110-135°C
	9	125-150°C

10 The reader's attention is directed to all papers and documents which are filed concurrently with or previous to this specification in connection with this application and which are open to public inspection with this specification, and the contents of all such papers and documents are incorporated herein by reference.

15 All of the features disclosed in this specification (including any accompanying claims, abstract and drawings), and/or all of the steps of any method or process so disclosed, may be combined in any combination, 20 except combinations where at least some of such features and/or steps are mutually exclusive.

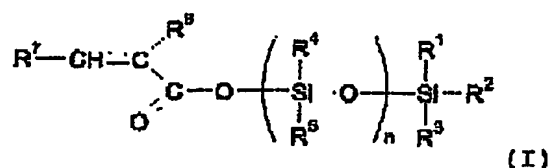
Each feature disclosed in this specification (including any accompanying claims, abstract and drawings), may be 25 replaced by alternative features serving the same, equivalent or similar purpose, unless expressly stated otherwise. Thus, unless expressly stated otherwise, each feature disclosed is one example only of a generic series of equivalent or similar features.

30 The invention is not restricted to the details of the foregoing embodiment(s). The invention extends to any novel one, or any novel combination, of the features disclosed in this specification (including any 35 accompanying claims, abstract and drawings), or to any

novel one, or any novel combination, of the steps of any method or process so disclosed.

Claims

1. A process for the production of hydrocarbyl silyl
5 unsaturated carboxylates of formula (I).

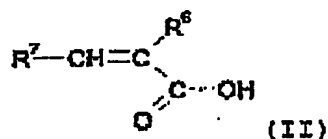


wherein

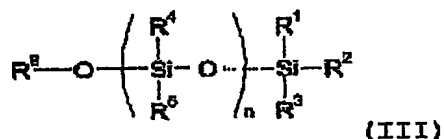
- 10 $\text{R}^1, \text{R}^2, \text{R}^3, \text{R}^4, \text{R}^5$ each independently represent hydrogen, hydroxyl, alkyl, alkenyl, alkynyl, alkoxy, aryl, aryloxy, aralkyloxy, $-\text{O}-\text{SiR}^1\text{R}^2\text{R}^3$, $-\text{O}-(\text{SiR}^1\text{R}^2\text{O})_n-\text{SiR}^1\text{R}^2\text{R}^3$ or aralkyl radical optionally substituted by one or more
- 15 substituents independently selected from the group comprising alkyl, alkoxy, aralkyl, aralkyloxy, aryl, aryloxy, hydroxyl, halogen, amino or amino alkyl radicals, or may independently be an $-\text{O}-\text{C}(\text{O})-\text{C}(\text{R}^6)=\text{CHR}^7$ group;
- 20 R^6 represents a hydrogen atom, or an alkyl group, or $(-\text{R}^8-\text{C}(\text{O})\text{OR}^{10})_n$ wherein R^{10} represents an hydrogen atom, $-(\text{SiR}^1\text{R}^2\text{O})_n-\text{SiR}^1\text{R}^2\text{R}^3$ wherein $\text{R}^1, \text{R}^2, \text{R}^3, \text{R}^4, \text{R}^5$ are as already defined or an alkyl group; wherein R^8 is independently
- 25 selected from alkyl, alkenyl, alkynyl, aryl or an aralkyl radical optionally substituted by one or more substituents independently selected from alkyl, alkenyl, alkynyl, aralkyl, aryl, hydroxyl, halogen, amino or amino alkyl radicals; $n=0$ or 1;
- 30 R^7 represents a hydrogen atom, or independently represents alkyl, aryl, aralkyl, alkenyl, alkynyl radical optionally substituted with the same radicals as defined for R^6 above or R^7 represents $-\text{COOR}^9$ wherein R^9 represents an hydrogen

atom, an alkyl group or $-(\text{SiR}^4\text{R}^5-\text{O})_n-\text{SiR}^1\text{R}^2\text{R}^3$ wherein R^1 , R^2 , R^3 , R^4 and R^5 are as already defined;

by reaction of an unsaturated carboxylic acid of formula
5 (II)



wherein R^6 and R^7 in formula (II) are as defined above;
10 with a hydrocarbyl silyl compound of formula (III)



15 wherein $\text{R}^1, \text{R}^2, \text{R}^3, \text{R}^4$ and R^5 are as defined above and R^8 is an hydrogen atom, an alkyl, aralkyl or aryl, alkenyl or alkynyl group optionally substituted with one or more substituents selected from the equivalent substituents as detailed for R^1-R^5 above; and each n above independently
20 represents a number of dihydrocarbylsiloxane units from 0 to 1000; the said reaction being carried out in the presence of a silaphilic catalyst.

2. A process according to claim 1, wherein $\text{R}^1, \text{R}^2, \text{R}^3, \text{R}^4$,
25 R^5 each independently represent an alkyl, an aryl group or a hydrogen atom.

3. A process according to claim 1 or 2, wherein $\text{R}^1, \text{R}^2, \text{R}^3, \text{R}^4, \text{R}^5, \text{R}^6$ and R^7 are each independently selected from
30 the group comprising methyl, ethyl, propyl, isopropyl, isobutyl, n-butyl, sec-butyl, t-butyl.

4. A process according to claims 1, 2 or 3 wherein R^1 , R^2 , R^6 , R^7 and R^9 are independently methyl.
5. A process according to claims 1, 2, 3 or 4 wherein R^1 , R^2 and R^3 are n-butyl.
6. A process according to any preceding claim, wherein fluoride containing mineral or organic salts which
10 comprise, but are not limited to, sodium fluoride, potassium fluoride, caesium fluoride or tetrabutyl ammonium fluoride (Bu_4NF); or are selected from N-methyl imidazole (NMI), N,N-dimethylamino pyridine (DMAP), hexamethylphosphoric triamide (HMPA), 4,4 dimethyl
15 imidazole, N methyl-2-pyridone (NMP), pyridine N-oxide, triphenylphosphine oxide, 2,4 dimethyl pyridine, N-methyl-4-pyridone, dimethyl formamide (DMF), 3,5 dimethyl pyridine, N,N-dimethylethylene Urea (DMEU), N,N-dimethylpropylene Urea (DMPU), pyridine, imidazole,
20 trimethylamine, dimethyl sulphoxide (DMSO), N-methyl pyrrolidinone (NMP), formamide, N-alkylformamides, N,N-dialkylformamides, acetamide, N-alkylacetamides, N,N-dialkylacetamides, alkylcyanides, N-methyl pyrrolidone, p-dimethylaminobenzaldehyde, 1,2-dimethyl imidazole, LiOH,
25 LiStearate, NaI, MeONa or MeOLi; the term alkyl in the above N-alkyl and N,N-dialkyl amides and cyanides includes any linear, cyclic, bicyclic, polycyclic, alkyl aliphatic or aromatic group and in the case of N,N-compounds the alkyl may be the same or different, an
30 example is N-formyl Rosinamine.
7. A process according to any preceding claim, wherein the catalysts are homogenous or heterogenous.
- 35 8. A process according to any preceding claim wherein the catalyst is able to coordinate reversibly with the silicon atom.

9. A process according to claim 8, wherein the catalyst is capable of forming a penta or hexa coordinated silicon species.

5 10. A process according to claim 1, wherein R^1 , R^2 , R^3 , R^4 , R^5 , R^6 , R^7 and R^8 are alkyl radicals independently selected from methyl, ethyl, n-propyl, isopropyl n-butyl, isobutyl, set-butyl, tert-butyl, 2-methylbutyl, pentyl, iso-amyl, hexyl, cyclohexyl, 3-methylpentyl, octyl and the
10 like.

11. A process according to claim 1, wherein the hydrocarbyl silyl esters of formula I are selected from
15 (meth)acryloyloxy silane, tri-n-butyl-1-(meth)acryloyloxy-silane, tri-n-propyl-1-(meth)acryloyloxy silane, tri-t-butyl-1-(meth)acryloyloxy-silane, tri-isopropyl-1-(meth)acryloyloxy-silane, tri-isobutyl-1-(meth)acryloyloxy-silane, tri-methyl-1-(meth)acryloyloxy-silane, triethyl-1-(meth)acryloyloxy-silane, tribenzyl-1-(meth)acryloyloxy-silane, triamyl-1-
20 (meth)acryloyloxy-silane, triphenyl-1-(meth)acryloyloxy-silane, nonamethyl-1-(meth)acryloyloxy-tetrasiloxane, nonaethyl-1-(meth)acryloyloxy-tetrasiloxane, nona-t-butyl-1-(meth)acryloyloxy-tetrasiloxane, nonabenzyl-1-(meth)acryloyloxy-tetrasiloxane, nona-isopropyl-1-(meth)acryloyloxy-tetrasiloxane,
25 (meth)acryloyloxy-tetrasiloxane, nona-n-propyl-1-(meth)acryloyloxy-tetrasiloxane, nona-isobutyl-1-(meth)acryloyloxy-tetrasiloxane, nona-amyl-1-(meth)acryloyloxy-tetrasiloxane, nona-n-butyl-1-(meth)acryloyloxy-tetrasiloxane, nona-dodecyl-1-(meth)acryloyloxy-tetrasiloxane,
30 (meth)acryloyloxy-tetrasiloxane, nona-hexyl-1-(meth)acryloyloxy-tetrasiloxane, nona-phenyl-1-(meth)acryloyloxy-tetrasiloxane, nona-octyl-1-(meth)acryloyloxy-tetrasiloxane, undecamethyl-1-(meth)acryloyloxy-pentasiloxane, undecaethyl-1-(meth)acryloyloxy-pentasiloxane,
35 (meth)acryloyloxy-pentasiloxane, undeca-t-butyl-1-(meth)acryloyloxy-pentasiloxane, undecabenzyl-1-(meth)acryloyloxy-pentasiloxane, undeca-isopropyl-1-

- | | | |
|----|--|----------------------|
| | (meth)acryloyloxy-pentasiloxane, | undeca-n-propyl-1- |
| | (meth)acryloyloxy-pentasiloxane, | undeca-isobutyl-1- |
| | (meth)acryloyloxy-pentasiloxane, | undeca-amyl-1- |
| | (meth)acryloyloxy-pentasiloxane, | undeca-n-butyl-1- |
| 5 | (meth)acryloyloxy-pentasiloxane, | undeca-dodecyl-1- |
| | (meth)acryloyloxy-pentasiloxane, | undeca-hexyl-1- |
| | (meth)acryloyloxy-pentasiloxane, | undeca-phenyl-1- |
| | (meth)acryloyloxy-pentasiloxane, | undeca-octyl-1- |
| | (meth)acryloyloxy-pentasiloxane | tridecamethyl-1- |
| 10 | (meth)acryloyloxy-hexasiloxane, | tridecaethyl-1- |
| | (meth)acryloyloxy-hexasiloxane, | trideca-t-butyl-1- |
| | (meth)acryloyloxy-hexasiloxane, | tridecabenzyl-1- |
| | (meth)acryloyloxy-hexasiloxane, | trideca-isopropyl-1- |
| | (meth)acryloyloxy-hexasiloxane, | trideca-n-propyl-1- |
| 15 | (meth)acryloyloxy-hexasiloxane, | trideca-isobutyl-1- |
| | (meth)acryloyloxy-hexasiloxane, | trideca-amyl-1- |
| | (meth)acryloyloxy-hexasiloxane, | trideca-n-butyl-1- |
| | (meth)acryloyloxy-hexasiloxane, | trideca-dodecyl-1- |
| | (meth)acryloyloxy-hexasiloxane, | trideca-hexyl-1- |
| 20 | (meth)acryloyloxy-hexasiloxane, | trideca-phenyl-1- |
| | (meth)acryloyloxy-hexasiloxane, | trideca-octyl-1- |
| | (meth)acryloyloxy-hexasiloxane and polymers thereof. | |

12. A process according to any preceding claim, wherein
- 25 the catalysts are independably selected from DMF, DMSO, formamide, N-alkylformamides, N,N-dialkylformamides, acetamide, N-alkylacetamides, N,N-dialkylacetamides, N-Methyl pyrrolidone, p-dimethylaminobenzaldehyde, DMAP, N-methyl imidazole, 1,2-dimethyl imidazole, HMPA, DMPU,
- 30 NaI, MeONa, MeOLi, Bu₄NF, Ph₃PO, LiOH, LiStearate and pyridine N-oxide.

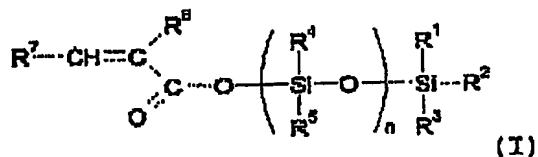
13. A process according to any preceding claim, wherein
- the catalysts are present at a level of 0.001-100 mol%
- 35 (mol/mol silane).

14. A process according to any preceding claim, wherein the reaction includes a polymeric inhibitor.
15. A process according to any preceding claim, wherein
5 the reaction is carried out in a suitable solvent.
16. A process according to claim 15, wherein suitable solvents include non polar inert solvents, aliphatic hydrocarbons, cyclic and non cyclic ethers.
- 10 17. A process according to any claims 15 or 16, wherein the solvent is independently selected from pentane, hexane, heptane, toluene, xylene, benzene, mesitylene, ethylbenzene, octane, decane, decahydronaphthlene, diethyl
15 ether, diisopropyl ether, diisobutyl ether or mixtures thereof.
18. A process according to any of claims 15-17, wherein the solvent causes no distillation of any of the reactants
20 but allows reactive distillation.
19. A process according to any of claims 15-18, wherein the solvent forms a low boiling azeotrope with the distilled R³OH.
- 25 20. A process according to any of claims 15-19, wherein the solvents are independently selected from pentane, hexane, heptane, toluene and xylene.
- 30 21. A process according to any preceding claim, wherein the reaction is carried out in the range 0°C - 200°C.
22. A process according to any preceding claim, wherein a polymerisation inhibitor is present in the range 0.001-10%
36 wt/wt of the total reaction mix.

23. A process according to any preceding claim, wherein the molar ratio of silane:acid is between 1:100 and 50:1.
24. A process according to any preceding claim, wherein
5 the solvent is at least 10 wt% of the total reaction mix at the start of the reaction.
25. A hydrocarbyl silyl monomer as defined in formula I produced by a process in accordance with any of claims 1-
10 24.
26. A process according to claim 1, wherein the number of (alk)acryloyl groups in formula I is less than 4.
- 15 27. A process according to claim 1, wherein the number of (alk)acryloyl groups in formula I is less than 1.
28. A process according to claim 1, wherein when R^{10} represents alkyl or hydrogen in formula II, it represents
20 $-(SiR^1R^2O)_n-SiR^1R^2R^3$ in formula I, wherein n and R^1-R^3 are as defined previously.
29. A process according to claim 1, wherein when R^1 , R^2 , R^3 , R^4 or R^5 are aryloxy, alkaryloxy, alkoxyl or hydroxyl
25 in formula III, they may represent or $-O-C(O)-C(R^5)=CHR^7$ in formula I.
30. A process according to claim 1, wherein where R^9 represents an alkyl group or an hydrogen atom in formula
30 (II), it may represent $-(SiR^1R^2O)_n-SiR^1R^2R^3$ in formula (I).
31. A process according to any of claims 1-6 or 8-30 wherein said catalyst may be a metal alkoxide, an organic tin compound or a boron compound or cyclic 1,3,5-
35 triisopropoxycyclotrioxane and the like.

A process for the production of hydrocarbyl silyl unsaturated carboxylates of formula (I) is described,

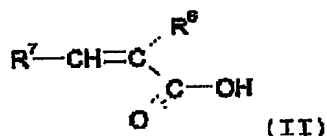
5



wherein n represents a number of dihydrocarbylsiloxane units from 0 to 1000.

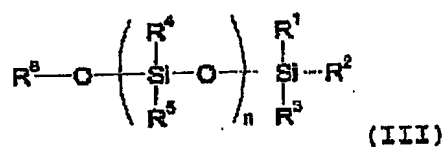
10

The process includes the reaction of an unsaturated carboxylic acid of formula (II)



15

with a hydrocarbonyl silyl compound of formula (III)



20 the said reaction being carried out in the presence of a silaphilic catalyst.

This Page is inserted by IFW Indexing and Scanning
Operations and is not part of the Official Record

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

☒ BLACK BORDERS

☐ IMAGE CUT OFF AT TOP, BOTTOM OR SIDES

☐ FADED TEXT OR DRAWING

☐ BLURED OR ILLEGIBLE TEXT OR DRAWING

☐ SKEWED/SLANTED IMAGES

☐ COLORED OR BLACK AND WHITE PHOTOGRAPHS

☐ GRAY SCALE DOCUMENTS

☒ LINES OR MARKS ON ORIGINAL DOCUMENT

☐ REPERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY

☐ OTHER: _____

IMAGES ARE BEST AVAILABLE COPY.

**As rescanning documents *will not* correct images
problems checked, please do not report the
problems to the IFW Image Problem Mailbox**